

**Illinois Department of Financial and Professional Regulation
Division of Professional Regulation**

Illinois Public Accountant Registration and Licensure Committee Business Meeting

February 13, 2018

Time: 11:08 a.m. Adjourn 1:07 p.m. (Cavanaugh/Waggoner)

Location: IDFPD Chicago Office, 100 W Randolph St, Suite 9-171A

Committee Members Present: Dawn Carlson, CPA, Chairperson
Jennifer Cavanaugh, CPA, Member
Hilda Renteria, CPA, Member
Joan Waggoner, CPA, Member
Richard Franklin, CPA, Member

Committee Members Absent: Neil Gerber, CPA, Vice-Chairperson

Staff Present: Chau Nguyen, Legal Counsel
Jim Koehl, Board Liaison
Mary Meehan, Chief, Business Prosecutions

Guests: Marty Green, CPA Society (conference call)
Todd Shapiro, CPA Society

Topics:	Discussion:	Action:
Minutes	Minutes for November 15, 2017	Franklin/Waggoner moved to approve the November 15, 2017 minutes. Motion carried.
New Business	Enforcement Report	Mr Koehl provided a copy of the report to the members to review. Ms Meehan provided an overview of the report.
	NASBA Annual Meeting	The NASBA meeting schedule was discussed. ED/Legal 3/13-16/18 E Regional 6/5-7/18 W Regional 6/27-29/18 Annual 10/28-31/18
	AICPA Peer Review Meeting	There was an overview of this meeting with input

by the Committee.

There was interest in looking into obtaining the SEC orders so they can be evaluated more quickly.

Great Lakes Conference Call

This meeting was held on February 7, 2018. Topics discussed included NASBA Communications, the CPE Audit Tool to roll out Spring 2019.

CPA Renewal

Mr Green noted that the Society reached out to their membership and firms and is ready to send an all-member bulletin in advance of the renewal.

New Staff

Mary Meehan started with the Division as Chief of Business Prosecutions.

CPA Society

Mr Green noted that the General Assembly is in session with the budget address on February 14th.

There are no CPA bills at this time.

Need to look at how the professional service corporation will renew with only a registered CPA.

Mr Green stated there will be an in-house workshop in May for the renewal at the Society's Chicago office.

Closed Session

Deliberation

Renteria/Cavanaugh moved to go into closed session pursuant to Sections 2(c)(4) and (15) of the Open Meetings Act. Motion carried.

Subsequent to the motion a roll call was held and the following members voted unanimously to close the session.

Waggoner-yes
Carlson-yes
Franklin-yes
Renteria-yes
Cavanaugh-yes

Those voting yes constituted a majority of the quorum of the members of the Board. The session closed at 12:32 p.m.

Cavanaugh/Carlson moved to come out of closed session at 1:00 p.m. Motion carried.

Open Session

Deliberation in Closed Session

August Filisha
2016-009046

Waggoner/Cavanaugh moved that 065-009756 be placed on indefinite suspension with a \$1000 fine. Cease and Desist the unlicensed activity of Filicia & Co.

Motion carried.

Closed minutes for November 15, 2017 be approved as written (Franklin/Cavanaugh). Motion carried.

Board Liaison Time	Addresses	The Committee address listing was verified by the members.
	Travel Vouchers	Travel vouchers were distributed to the members.
	Sign Findings	The Committee signed the Findings.
	Next meeting date	The next meeting is scheduled for June 26, 2018 in Springfield.

Adjournment	Cavanaugh/Waggoner moved to adjourn the meeting at 1:07 pm. Motion carried.
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Exceptions and Comments

Deliberations-Let it be noted for the record that the Director's decision regarding disciplinary matters is withheld from the minutes and rendered at the time the Board presents their Findings of Fact, Conclusions of Law and Recommendations.
